

**Global CDD**

**Entity Capacity**

Client Role Contracting Party

Business Relationships: Principal

Is the entity that is being onboarded, contractually engaged by DB to develop investment business relationships or to introduce / procure business transactions e.g. a business finder / arranger / introducer / consultant / intermediary etc? No

**Entity Ownership**

Provide document for evidence of the entity's Ownership Structure Multiple

Provide document for evidence of the entity's UBO relationships Southern Financial Operating Agreement.pdf

**Risk Factors**

Have you come across any other reason for escalation to AFC Compliance? No

**KYC Review**

Are you going to complete a full CDD/KYC Review for this Entity? Yes

**CDD KYC Type**

Select the applicable Client KYC Type: Private Entity

**Organization Formation**

Provide document for evidence of the entity's Legal Name ORBIS.pdf

Provide document for evidence of the entity's formation and Legal Form ORBIS.pdf

Country Risk associated with the Registered address of the entity: ▼

<b>Country Of Registration</b> Virgin Islands, U.S.	<b>Country Level</b> 2
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Provide document for evidence of the entity's Registered Address ORBIS.pdf

Country Risk associated with the Domicile Address of the entity: ▼

<b>Country Level</b> 2	<b>Country Of Operation</b> Virgin Islands, U.S.
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During the course of due diligence was any nexus to a Special Risk Country found? No

**Source Of Wealth**

Have you identified the source of wealth for all UBOs who hold greater than or equal to 25% (in case of CDD) or 10% (in case of EDD) in the Ownership? Yes