

UK Risk Assessment

Risk Assessment

Global CRRE Risk Score

13

Global CRRE Risk Level

HIGH

RACS Risk Rating

Local Final Risk

HIGH

Global Final Risk

HIGH

Special Risk Factors - Item

New Response

Previous Response

UBO PEP		
LR PEP		
Adverse Media	Yes	Yes
Bearer Shares		
Complex Structure		
Link to Special Risk Country (CRRE)	No	No
Nexus to Special Risk Country (Global Due Diligence)	No	No
Link to Special Risk Industry (CRRE)	No	
Link to Special Risk Entity	No	No
Intermediaries	No	No
Any other reason for escalation to AFC?	No	No
Downstream Correspondent Banking Activity	N/A	N/A

Pillar Summary - Item

Local Score

Local Risk

Global Risk

Global Score

COUNTRY	3	MEDIUM	MEDIUM	3
ENTITY	2	MEDIUM	MEDIUM	2
INDUSTRY	5	HIGH	HIGH	5
PRODUCT	3	HIGH	HIGH	3

Override Risk Rating

Override Risk Rating ?

No

Override KYC Next Review Date