

Amber Davis

From: Xavier Avila
Sent: Thursday, November 16, 2017 10:31 AM
To: Nina Tona; Martin Zeman
Cc: Alastair Mackinlay; Mathew Negus; Joshua Shoshan; Davide-A Sferrazza
Subject: RE: Southern Financial LLC [I]

Approved

Davide is an expert of filling BSFs.

Let's keep this account ipen in GM.

Thanks

From: Nina Tona <[REDACTED]>
Date: Thursday, Nov 16, 2017, 09:35
To: Martin Zeman <[REDACTED]>, Xavier Avila <[REDACTED]>
Cc: Alastair Mackinlay <[REDACTED]>, Mathew Negus <[REDACTED]>
Subject: RE: Southern Financial LLC [I]

Classification: **For internal use only**

Hi Martin and Xavi,

Please advise on the below, we have 6 weeks to complete this process.

PENDING W/BUSINESS

1. Provide RR (Sales Rep) AND RP (Desk Head)
2. Provide Certification of Incorporation
3. ACO Attestation – Xavier Avila
4. BSF Form – Please work with the client to have the attached filled out.
5. MD sales approval – Xavi please approve the below

Hi Xavi,

Kindly provide MD sales approval for the NCA of Southern Financial LLC for the below products/entities.

Cash Fixed Income DVP/RVP	Cash Equities DVP/RVP	OTC Derivatives (Rates)	FX options	FX Cash	Commodities	GEO
DB AG (LDN)	DBSI	DB AG (LDN)	DB AG (LDN)	DB AG (LDN)	DB AG (LDN)	DBSI

Thank you

Nina Tona