

From: Nina Tona
Sent: Tuesday, November 14, 2017 10:51 AM
To: Martin Zeman <[REDACTED]>; Xavier Avila <[REDACTED]>
Cc: Alastair Mackinlay <[REDACTED]>; Mathew Negus <[REDACTED]>
Subject: Southern Financial LLC [I]

Classification: **For internal use only**

Hi Martin,

Hope all is well.

Southern Financial LLC is one of the accounts GM wanted to off-board thus we are working on retaining the client. In order to do so, kindly provide the below info.

PENDING W/BUSINESS

1. Provide RR (Sales Rep) AND RP (Desk Head)
2. Provide Certification of Incorporation
3. ACO Attestation – Xavier Avila
4. BSF Form – Please work with the client to have the attached filled out.
5. MD sales approval – Xavi please approve the below

Hi Xavi,

Kindly provide MD sales approval for the NCA of Southern Financial LLC for the below products/entities.

Cash Fixed Income DVP/RVP	Cash Equities DVP/RVP	OTC Derivatives (Rates)	FX options	FX Cash	Commodities	GED
DB AG (LDN)	DBSI	DB AG (LDN)	DB AG (LDN)	DB AG (LDN)	DB AG (LDN)	DBSI

Thanks

Kind regards,



Nina Tona

Associate

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