

To: Zack Bunimovich [REDACTED]; Xavier Avila [REDACTED]; Martin Zeman [REDACTED]
<[REDACTED]> Rita Shteynberg [REDACTED]
Cc: Liam Osullivan [REDACTED]; Mathew Negus <[REDACTED]>; Alastair Mackinlay [REDACTED]; Davide-A Sferrazza <[REDACTED]>; Anthony Lentini [REDACTED]
Subject: RE: Southern Financial LLC - KYC and credit derivatives/convertible bonds onboarding [C] [I]

Classification: **For internal use only**

Hi Zack,

Please see the attached confirmation from the client. Let us know what the next step is.

Thank you

Nina Tona

From: Zack Bunimovich
Sent: Tuesday, March 27, 2018 5:53 PM
To: Xavier Avila <[REDACTED]>; Martin Zeman [REDACTED]; Rita Shteynberg [REDACTED]; Jitan Patel [REDACTED]; Nina Tona <[REDACTED]>
Cc: Liam Osullivan <[REDACTED]> Mathew Negus <[REDACTED]>; Alastair Mackinlay <[REDACTED]> Davide-A Sferrazza <[REDACTED]> Anthony Lentini [REDACTED]
Subject: RE: Southern Financial LLC - KYC and credit derivatives/convertible bonds onboarding [C] [I]

Classification: **For internal use only**

Hi Xavier,

I believe Martin was reaching out to Southern to obtain one more confirmation regarding the KYC information that was provided. We spoke last week.

Thanks,
Zack

From: Xavier Avila
Sent: Tuesday, March 27, 2018 4:01 PM
To: Martin Zeman <[REDACTED]>; Zack Bunimovich <[REDACTED]>; Rita Shteynberg [REDACTED]; Jitan Patel [REDACTED]; Nina Tona [REDACTED]
Cc: Liam Osullivan [REDACTED]; Mathew Negus [REDACTED]; Alastair Mackinlay [REDACTED]; Davide-A Sferrazza <[REDACTED]>; Anthony Lentini [REDACTED]
Subject: RE: Southern Financial LLC - KYC and credit derivatives/convertible bonds onboarding [C]

Zack/Nina, what is the latest on SF KYC/Extension to Credit Derivs? Thanks

Xavier Avila