

4. Will Client's swaps be subject to control by another entity?

YES  NO

If yes, then please provide the following information regarding such entity.

Legal Name: \_\_\_\_\_

Legal Address: \_\_\_\_\_

5. ELIGIBLE CONTRACT PARTICIPANT ("ECP") QUESTIONNAIRE

*(Capitalized terms used in this Questionnaire are defined in the attached Glossary)*

Please check all that apply:

Client is acting for its own account and is:

- an Investment Company
- a Commodity Pool ECP
- a Large Entity ECP
- a Hedging Entity ECP
- an Eligible Individual ECP
- a Hedging Individual ECP

6. FINANCIAL ENTITY QUESTIONNAIRE

*(Capitalized terms used in this Questionnaire are defined in the attached Glossary)*

Client is *(please check all that apply)*:

- a Commodity Pool
- a Private Fund
- an Active Fund
- a person predominantly engaged in activities that are in the business of banking, or in activities that are financial in nature, as defined in Section 4(k) of the Bank Holding Company Act.

Please describe: \_\_\_\_\_

7. SPECIAL ENTITY QUESTIONNAIRE

*(Capitalized terms used in this Questionnaire are defined in the attached Glossary)*

Client is *(please check all that apply)*:

- a US Federal agency;
- a US State, US State agency, city, county, municipality, or other political subdivision of a US State;
- an ERISA Special Entity;