

7. END-USER EXCEPTION SELF-CERTIFICATION

Any Client intending to elect the End-User Exception but that has not filed an End-User Notice in accordance with Section 13.1(c)(A) of the Addendum must complete, sign and return this Self-Certification to DB. Capitalized terms used in this Questionnaire are defined in the attached Glossary.

Legal Name of Client Swap Vehicle: SOUTHERN FINANCIAL LLC

On each date on which Client elects to use the End-User Exception with respect to a particular swap entered into pursuant to this Addendum, Client represents to DB that:

- (a) it is either:
- (i) not a Financial Entity (notwithstanding any exemptions or exclusions from such definition provided in Section 2(h) of the CEA or related CFTC Regulations);
  - (ii) exempt from the definition of Financial Entity as an Exempt Small Financial Institution; or
  - (iii) excluded from the definition of Financial Entity because it only uses swaps to hedge or mitigate the commercial risk of an affiliated person that is itself not a Financial Entity.
- (b) such swap is being used for purposes of Hedging or Mitigating Commercial Risk;
- (c) it has provided DB with the information listed in CFTC Regulation 50.50(b)(1)(iii) and all such information is true; accurate and complete in every material respect and covers the particular swap for which the End-User Exception is being claimed; and
- (d) it generally meets its financial obligations associated with entering into uncleared swaps through one of the following categories (please check all that apply):
- a written credit support agreement;
  - pledged or segregated assets (including posting or receiving margin pursuant to a credit support agreement or otherwise);
  - a written third-party guarantee;
  - Client's available financial resources; and/or
  - any means other than those described above; (If Client checks this box, please provide further explanation on a separate sheet of paper.)
- (e) it  IS /  IS NOT an Exchange Act Reporting Company. If Client is an Exchange Act Reporting Company, it further represents that:
- (i) its SEC Central Index Key Number is (please insert): \_\_\_\_\_; and
  - (ii) an appropriate committee of its board of directors (or equivalent body)  HAS /  HAS NOT reviewed and approved the decision to enter into swaps that are