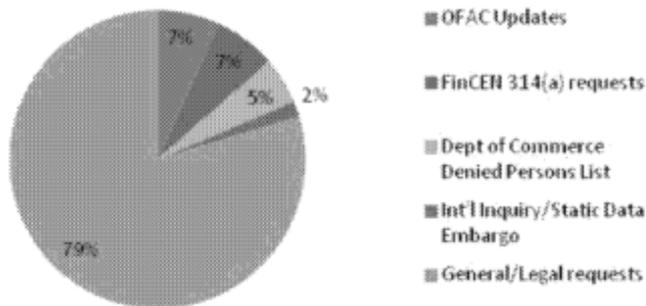




US PWM AML Search Requests - June 2014

- 4 OFAC requests were received.
- 4 FinCEN 314(a) requests were received.
- 3 Department of Commerce Denied Persons List were received
- 1 International Inquiries and/or Static Data Embargo Inquiries were received.
- 47 General/Legal requests were received.

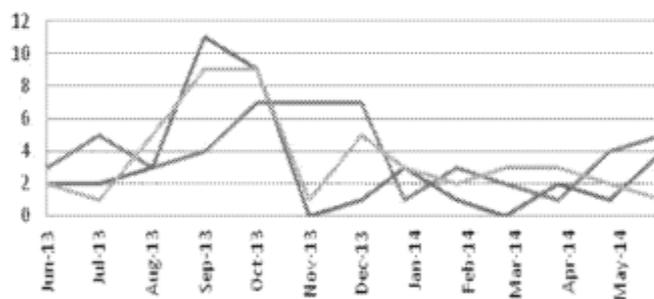
US PWM AML Search Requests



US PWM CTR and SAIF Filings

- 5 CTRs were filed in June 2014
- 4 SAIF were filed in June 2014 for the Private Bank.
- 1 SAIF was filed in June 2014 for Private Client Services.

US PWM CTR and SAIF Filings



	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13	Jan-14	Feb-14	Mar-14	Apr-14	May-14	Jun-14
CTRs filed	3	5	3	4	7	7	7	1	3	2	1	4	5
PB SAIFs filed	2	2	3	11	9	0	1	3	1	0	2	1	4
PCS SAIFs filed	2	1	5	9	9	1	5	3	2	3	3	2	1