
From: Stewart Oldfield [REDACTED]
Sent: 10/31/2018 9:16:29 AM
To: Mayur Rathod [REDACTED]
CC: Richard Iarossi [REDACTED] Bradley Gillin [REDACTED] Vinita Advani [REDACTED] Vijay-A Sawant [REDACTED]
Subject: Re: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Pretty sure we have already provided some of these. I still need to talk to the client about the remaining items. Have been away for 3 days in sales training with Brad, hope to call client today or tmw.

Stewart Oldfield, CFA, CAIA
Director

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Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154
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Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On Oct 31, 2018, at 9:13 AM, Mayur Rathod [REDACTED] wrote:

Classification: **For internal use only**

Hi Rich,

Hope all is well.

Following up on the below email sent by Vijay regarding pending rejection items.

Do we have any update on the same.

Thanks & Regards

<image001.gif>

Mayur Rathod

KYC Case Representative
Deutsche CIB Centre Private Limited
Wealth Management
Floor 1, B1, DB Centre Mumbai
Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai 400063, India
Tel.: [REDACTED]
Email: [REDACTED]

From: Vijay-A Sawant
Sent: Friday, October 26, 2018 2:37 PM
To: Stewart Oldfield [REDACTED]
Cc: Bradley Gillin [REDACTED] Richard Iarossi [REDACTED] Alka Babu [REDACTED] Mayur Rathod [REDACTED] Vinita Advani [REDACTED]