

On Apr 25, 2017, at 4:14 PM, Vahe Stepanian
<[REDACTED]> wrote:

Generally agree with Stewart. Is there anything specific
you are looking at?

Thanks,
Vahe

From: Stewart Oldfield
Sent: Tuesday, April 25, 2017 4:02 PM
To: Richard Kahn <[REDACTED]>
Cc: Vahe Stepanian <[REDACTED]>
Subject: RE: trading capabilities

Generally I think we can just do listed options in your
wealth management brokerage accounts. We don't
have investment banking/OTC capabilities for you
unless we can trade via long form
confirmation. Copying Vahe who can clarify.

Thanks

From: Richard Kahn [REDACTED]
Sent: Tuesday, April 25, 2017 3:48 PM
To: Stewart Oldfield
Subject: trading capabilities

are we able to do any of the following
trades in our accounts

- Trade fx forwards and options
- CDS
- Equity derivatives

If so would this be out of your
Wealth Management group or
Investment Bank?

please advise
thank you