
From: Stewart Oldfield [REDACTED]
Sent: 11/9/2016 9:03:00 PM
To: Todd Stevens [REDACTED]
Subject: Re: High Risk KYC Request: Southern Financial, LLC - CRDS ID: [REDACTED]

Classification: For Internal Use Only

Thanks. Let me know what they need my help with.

Stewart Oldfield, CFA, CAIA
Director

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Securities offered through Deutsche Bank Securities Inc.

From: Todd Stevens
Sent: Wednesday, November 09, 2016 06:20 PM
To: Stewart Oldfield
Subject: FW: High Risk KYC Request: Southern Financial, LLC - CRDS ID: [REDACTED]

Classification: **For internal use only**

From: Daniel Sabba
Sent: Wednesday, November 09, 2016 6:18 PM
To: Todd Stevens; Zbynek Kozelsky; Darlene Zong; Vahe Stepanian
Subject: FW: High Risk KYC Request: Southern Financial, LLC - CRDS ID: [REDACTED]

Todd let's discuss how to respond.

-----Original Message-----

From: Vahe Stepanian
Sent: Wednesday, November 09, 2016 02:04 PM Pacific Standard Time
To: kyc outreachteam
Cc: Daniel Sabba; Darlene Zong
Subject: RE: High Risk KYC Request: Southern Financial, LLC - CRDS ID: [REDACTED]

Classification: **For internal use only**

Acknowledged, and adding the main coverage team here. We'll be back to you.

From: Michael Valencia **On Behalf Of** kyc outreachteam
Sent: Wednesday, November 09, 2016 5:00 PM