
From: Stewart Oldfield [REDACTED]
Sent: 6/11/2018 11:10:13 AM
To: Bradley Gillin [REDACTED]
CC: Rodney Macelus [REDACTED]
Subject: FW: FinCEN CDD Rule; Customer Mailing
Attachments: Brad, Stew, Terri.xlsx; CDD Rule Mailing_FINAL_MRG_Approved.docx

Let's remove Jockey Club, Southern and Third Lake. We're already taking care of the first two. Please reach out to Tebbi for Third Lake

From: Anna-Sofiya Lupolover
Sent: Monday, June 11, 2018 11:09 AM
To: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]; Terri Sohrab [REDACTED]; Rodney Macelus <[REDACTED]>
Subject: RE: FinCEN CDD Rule; Customer Mailing

Hi Team,

If there are any updates to your list, please provide them by EOD. If I do not hear from you, all letters will be addressed to "Dear Client" and the contact person will be the customer owner for each. Thanks!

Kind Regards,
Anna-Sofiya



Anna-Sofiya Lupolover
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154
Tel. +1(212)454-0204
Fax +1(646)690-1193
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Anna-Sofiya Lupolover
Sent: Monday, June 04, 2018 6:21 PM
To: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]; Terri Sohrab [REDACTED]; Hildegard Berrios [REDACTED]
Subject: FW: FinCEN CDD Rule; Customer Mailing

Hi Team,

Please find your in-scope client list below. Addresses were pulled from various locations, primarily from FIS (if the client has a banking account), then from Net X (if the client has a brokerage account), and then from DB Force (if the client has neither). First, please VALIDATE the address populated. Then, if you would like to, please provide a personalized salutation in column L – if you do not provide one, the letter will be addressed "Dear Client." Lastly, if you would like