

someone other than the RM to be listed as the contact on the letter, please delegate a contact name and phone # in column M and N.

If you have already requested the FinCEN form from any clients in the list, please let me know, and I will remove them from this mailing.

Thank you!

Kind Regards,
Anna-Sofiya



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From: Armen Brash [mailto:[REDACTED]]
Sent: Monday, June 04, 2018 4:06 PM
To: [REDACTED]; Michael Lantz [REDACTED]; Roy Kasakove [REDACTED]; Michel Plut [REDACTED]; Catherine M Oconnor [REDACTED]; Dan Peterson [REDACTED]; James Donofrio [REDACTED]; Edward Golden [REDACTED]; Nora Heaton [REDACTED]; Brandi Goldenberg [REDACTED]; Janice M Smith [REDACTED]; Janet A McGrath [REDACTED]; Donna G Mitchell [REDACTED]; Sequana Beale [REDACTED]; Carolyn Magliaro [REDACTED]
Cc: Olivier Poncet [REDACTED]; Andrew Gallivan [REDACTED]; David Wolkenbrod [REDACTED]; Scott Guest [REDACTED]; Felipe Godard [REDACTED]; Janet Morales [REDACTED]; Sheffali Welch [REDACTED]; Charles Hoffarth [REDACTED]
Subject: FinCEN CDD Rule; Customer Mailing

Hello Everyone,

As you all know by now, the FinCEN CDD Rule went live last month. We are required to obtain the [CDD Form](#) for all new account openings, as well as for KYC updates (including both Periodic Reviews and Event Driven Reviews).

We will be doing a central mailing to all of your customers with a KYC Periodic Review due in 2018. The mailing will include the attached cover letter, a copy of the [CDD Form](#) and an addressed postage paid envelope.

You will receive the list of your clients who will receive this mailing shortly.

In order to move forward with this mailing, we will need a couple of things from you:

1. You have the opportunity to provide a salutation for the letter. This is not required—if you don't wish to provide one, the letter will sent to "Client"