
From: Mary Murray [REDACTED]
Sent: 3/30/2017 11:07:29 AM
To: Tazia Smith [REDACTED]
CC: Stewart Oldfield [REDACTED]; Joshua Shoshan [REDACTED]; Vahe Stepanian [REDACTED]; Zbynek Kozelsky [REDACTED]
Subject: RE: Apple transfer to Merrill Lynch [I]

Classification: **For internal use only**

We need a signed LOA from the client for below that also references his DBSI account number.

We're shorthanded so it would be great if Vahe could ask the client for that. Thank you.

From: Tazia Smith
Sent: Thursday, March 30, 2017 11:04 AM
To: Zbynek Kozelsky
Cc: Mary Murray; Stewart Oldfield; Joshua Shoshan; Vahe Stepanian
Subject: FW: Apple transfer to Merrill Lynch [I]
Importance: High

Classification: **For internal use only**

Ziggy –

Stock transfer for JE. I spoke with Rich Kahn on this. Given constrained resources, please advise on next step to effectuate these instructions:.

From: Richard Kahn [REDACTED]
Sent: Thursday, March 30, 2017 10:52 AM
To: Tazia Smith
Cc: Stewart Oldfield; Jeanne Brennan
Subject: Apple transfer to Merrill Lynch

Can you please transfer 100,000 shares from southern financial Llc to Merrill southern trust account

1. Please send shares to
 - DTCC Participant # [REDACTED]
 - Agent ID [REDACTED]
 - FFC [REDACTED] Southern Trust Company, Inc.

Please call me with any questions. Thank you.

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue, 4th Floor
New York, NY 10022
[REDACTED]
[REDACTED]
[REDACTED]