

Client is a billionaire. It's not like this is a specific \$6mm of his. Most of his wealth comes from investments and advisory fees.

From: Vahe Stepanian
Sent: Tuesday, December 20, 2016 10:09 AM
To: Amlcompliance Inquiries; Zbynek Kozelsky; Cynthia Rodriguez; PWM AMLCompliance; Stewart Oldfield
Cc: Joshua Shoshan; Donald Summer; Cherie Quigley
Subject: RE: URGENT - THIRD REQUEST!!!! RE: Inquiry Regarding THE HAZE TRUST, Account# [REDACTED], Alert# SAM1788880 [I]

Classification: **For internal use only**

We don't know the answer. Are we supposed to reach out to client about this?

Thanks,
Vahe

From: Danya Friedman **On Behalf Of** Amlcompliance Inquiries
Sent: Tuesday, December 20, 2016 9:39 AM
To: Zbynek Kozelsky; Cynthia Rodriguez; PWM AMLCompliance; Stewart Oldfield; Vahe Stepanian
Cc: Joshua Shoshan; Donald Summer; Cherie Quigley; Amlcompliance Inquiries
Subject: RE: URGENT - THIRD REQUEST!!!! RE: Inquiry Regarding THE HAZE TRUST, Account# [REDACTED], Alert# SAM1788880 [I]
Importance: High

Classification: **For internal use only**

Good Morning:

From the initial response below, it appears that a response was given on a different wire in the amount of \$23 mil between brokerage and the private bank. Can anyone answer the inquiry regarding the wire from HSBC?

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N4G024943 DAN I W /CP0108 MIDLGB22BBX /ACC/PERSHING LLC 6186980.68
HSBC BANK USA, NATIONAL ASSOCIATION VOID //HBK ASSOCIATES
1800 TYSONS BLVD /ACC/PERSHING LLC [REDACTED]
MC LEAN VA 22102 //HBK ASSOCIATES // /DAS/REP:902689TGRES2
000180807 [REDACTED] N4G024943 THE HAZE TRUST TN
HSBC SECURITIES SERVICES (IRELAND) [REDACTED] // 285890201 RED TD 30SRP16 KB LP C
DAC /DAS/REP:902689TGRES P-075C KING STREET LP 9.30.2016
1 GRAND CANAL SQUARE, GRAND CANAL 2 N306864101
HARBOUR DUBLIN 2, IRELAND
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Incoming:

10/27/2016, \$6,186,980.68, HSBC SECURITIES SERVICES (IRELAND) DAC at HSBC BANK USA, NATIONAL ASSOCIATION

1. Who is the ultimate originator of these funds (i.e. if this is investment income, please give the name of the investment that the funds originate)?

Best Regards/Mit freundlichen Grüßen,

PWM AML Compliance
Deutsche Bank
60 Wall St., 23 Floor
New York, NY 10005 - 2836
[REDACTED]