

| | | | |
|-----------------|----------|--------------|-----------|
| * Institution 1 | JPMorgan | * Est. AUM 1 | \$500,000 |
| * Institution 2 | | * Est. AUM 2 | |
| * Institution 3 | | * Est. AUM 3 | |

Biography
Description

Investment Guidelines
Initial Financial Review

High Risk Updates/ACU Exemptions

| | |
|---|--|
| Exemption Status | Annual Client Update Due Date |
| Auto Exempt Reason: Retail Banking < \$250K | Past-Due Explanation |
| Exemption Reason | High Risk InPerson Meeting Due Year |
| Other (describe reason) | Lending Review Due Date |
| Birthdate | Territories: Banking, Stewart Oldfield |
| ACU held in Customer | |
| ACU on this Customer also Cover | |

Additional Information (Entities Only)

| | | | |
|--|--|---|---|
| * Nature of business | Law practice. This is Daren Indyke's private law firm. | * Type of Entity | Company |
| * Country of Incorporation/Registration | USA | * Type of Entity Other (specify) | |
| * Date of Incorporation/Registration | 9/5/2008 | * Purpose of Entity: | Private Investment |
| * Countries where business is transacted | USA | * Purpose of Entity Other (specify) | |
| * Number of Employees | 1 | * Description of Organizational Ownership | Single member PLLC with Darren K. Indyke as the sole member and owner |

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Contacts Contacts Help

| Action | Contact Name | Salutation | Primary | Main Contact | Phone | Primary Phone Type | Email |
|--------|---------------------------------------|------------|-------------------------------------|-------------------------------------|-------|--------------------|-------|
| | Darren K. Indyke PLLC | | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | | | |

DB Accounts DB Accounts Help

| Action | DB Account Name | Account Id | Contact Name | Product Name | Market Value | Date Opened | Active | Balance As Of |
|--------|--|------------|---------------------------------------|---|--------------|-------------|-------------------------------------|---------------|
| | [REDACTED] - DARREN K. INDYKE PLLC | 42953707 | Darren K. Indyke PLLC | Deposit Transactions | \$220,179.66 | 11/1/2013 | <input checked="" type="checkbox"/> | 8/5/2016 |
| | [REDACTED] - DARREN K. INDYKE PLLC | 42960085 | Darren K. Indyke PLLC | Deposit Transactions | \$0.00 | 4/28/2015 | <input type="checkbox"/> | 7/27/2015 |
| | [REDACTED] - DARREN K. INDYKE PLLC | 44133817 | Darren K. Indyke PLLC | Money Market Business Account | \$0.00 | 4/28/2015 | <input type="checkbox"/> | 7/27/2015 |
| | [REDACTED] - DARREN K. INDYKE PLLC | 44129869 | Darren K. Indyke PLLC | Money Market Business Account | \$0.00 | 11/1/2013 | <input type="checkbox"/> | 1/30/2014 |
| | [REDACTED] - DARREN K. INDYKE PLLC | 42953651 | Darren K. Indyke PLLC | Deposit Transactions | \$0.00 | 11/1/2013 | <input type="checkbox"/> | 1/30/2014 |

[Show 1 more »](#) | [Go to list \(6\) »](#)

Contact Exemptions ACUs Contact Exemptions ACUs Help

No records to display

Customer Team Customer Team Help

[Add](#)

No team members

Associations Associations Help

No records to display

Cases Cases Help

[New Case](#)

| Action | Case | Case Record Type | Type | Case Subject | Contact Name | Date Opened | Status | Owner |
|--------|------|------------------|------|--------------|--------------|-------------|--------|-------|
|--------|------|------------------|------|--------------|--------------|-------------|--------|-------|