

I have done a bit of digging on this. As per below table, two of this names were indeed included in the Phase I of the Trading Outreach. The other 2 may have happen offline via lain. Please see below the details I have been able to extract:

CLIENTID	LEGAL_NAME	GM Sales Sponsor for Outreach	In trading outreach Phase I	Trading Outreach Output		Person Making Suggestions
				ACO suggested	Sales suggested	
1000040765	William Beaumont Hospital	anthony.campo@db.com				
1004688558	Proven Wealth Limited	Adrienne Coyle	yes	Alex Giannou	Adrienne Coyle	Bruce Harkness, EM
1007406812	Southern Financial, LLC	Vahe Stepanian				
1008346243	Tradition SEF, Inc.	Olivier Pariente - TCG	Yes	Olivier Pariente - TCG	Matt Barnett (TCG)	Matt Barnett (TCG)

My suggestions are:

- #1 - reach out to Anthony. He is very responsive
- #2 – reach out to ACO, and Bruce.
- #3 – reach out to Vahe
- #4 – reach out to Matt.

Thanks.

Kind regards,
Isabel.

Isabel Gonzalez
ICG Sales COO Team
Deutsche Bank AG, Filiale London

Winchester House, 1 Great Winchester Street
Tel: [REDACTED] EC2N 2DB London

From: Christopher Whyton
Sent: 01 February 2017 09:37
To: Isabel-A Gonzalez
Subject: RE: Remediation 2015-2016_ ACO queries.xlsx

Hi Isabel

The first 3 below were not part of the debt trading outreach. Do you recall how you were made aware that trading wishes to keep?

The person in the bottom one doesn't exist in the directory so I'll need to ask around in the even they've left.

CLIENTID	LEGAL_NAME	GM Sales Sponsor for Outreach
1000040765	William Beaumont Hospital	[REDACTED]
1004688558	Proven Wealth Limited	Adrienne Coyle
1007406812	Southern Financial, LLC	Vahe Stepanian
1008346243	Tradition SEF, Inc.	Olivier Pariente - TCG

Thanks
Chris