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On Apr 25, 2017, at 4:14 PM, Vahe Stepanian <[REDACTED]> wrote:

Generally agree with Stewart. Is there anything specific you are looking at?

Thanks,
Vahe

From: Stewart Oldfield
Sent: Tuesday, April 25, 2017 4:02 PM
To: Richard Kahn <[REDACTED]>
Cc: Vahe Stepanian <[REDACTED]>
Subject: RE: trading capabilities

Generally I think we can just do listed options in your wealth management brokerage accounts. We don't have investment banking/OTC capabilities for you unless we can trade via long form confirmation. Copying Vahe who can clarify.

Thanks

From: Richard Kahn [mailto:[REDACTED]]
Sent: Tuesday, April 25, 2017 3:48 PM
To: Stewart Oldfield
Subject: trading capabilities

are we able to do any of the following trades in our accounts

- Trade fx forwards and options
- CDS
- Equity derivatives

If so would this be out of your Wealth
Management group or Investment Bank?

please advise
thank you

Richard Kahn
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