

Please note that clients are not required to take any action in response to the disclosure statement.

**2) Steps for you to follow to comply:**

- a) You must deliver the attached SFTR Disclosure Letter by July 27<sup>th</sup> to those of your clients/counterparties in scope. You may deliver the Disclosure Letter to you clients by mail or by fax or email if your client has consented to electronic delivery of documents.
- Please note that clients are not required to take any action in response to the disclosure statement.
- b) Attach proof of delivery to the client's record in dbForce (Email, Fax, or letter sent to the client).
- Please include a copy of the Letter AND the Envelope/FedEx Label in one attachment
- c) Notify me once a) and b) have been completed.

**3) Response/questions from clients:**

The SFTR Disclosure Letter clearly states that no response is required from the clients. However, any questions regarding this matter should be managed by the Relationship Manager, with the involvement of legal or compliance as needed.

An **Internal Use Only** Q&A is also attached (do not send the Q&A to the client).

**If you need any assistance or have any questions, please reach out to me or Anna-Sofiya.**

Thank you

Best,  
Armen



Armen Brash  
Director

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