Deutsche Bank
Compliance

Rule Type : UserDefined	Scheduled :Scheduled	Alert / Case :	Pre/Post end of day :

Rule Code	Title / Description	Parameters		
RACS4	Detects RACS4 transactions - at least 1tns of at least $1,000, cumulative amount at least $10,000 Detects transactions, for which any of the country party field is from the list of RACS4 countries and creates alert/case at the customer level. A transaction is included in the evaluation based on its qualification with: specified transaction types (all), a specified time period (week), the amount is in a specified range (minimum $1,000), and the cumulative amount of all the transactions (minimum $10,000) meeting these constraints is in a specified range and the cumulative count is not less than the specified count (1).	Activity Period. Week, Month, Quarter, Semi-Annual, if Blank-Use Date Parameters = 'Week' Start Date for Lookback MM/DD/CCYY. (Leave Period Blank. Disable rule or Reset parameter after rule executes) = '' End Date for Lookback MM/DD/CCYY. (Leave Period Blank. Disable rule or Reset parameter after rule executes) = '' Minimum transaction count = '1' Minimum individual transaction amount = '1000.00' Maximum individual transaction amount. For no maximum use -1 = '-1' Minimum aggregated transaction amount. = '10000.00' Maximum aggregated transaction amount. For no maximum use -1 = '-1' List of Risk Classes separated by comma. Use -ALL- for All. = '-ALL-' List of Customer Types separated by comma. Use -ALL- for All. = '-ALL-' List of Account Types separated by comma. Use -ALL- for All. = '-ALL-' List of Activity Types separated by comma. Use -ALL- for All. = '-ALL-' List of Countries separated by comma. Use -ALL- for All. = 'AF,AL,DZ,AD,AO,AG,82,AR,AM,AW,AZ,BS,BD,BY,BZ,BJ,BIEL,42,BO,BA,BUR,BF,BI,XR,KH,CM,XT,XV,XX,CF,TD,CN,CO,KM,CG,CD,CK,CR,CLIC,CU,CY,DJ,DO,EC,EG,GQ,ER,ET,GA,GM,GE,GH,GI,XG,GT,GN,GW,GY,HT,HN,IN,ID,IR,IQ,IL,QV,JM,KZ,KE,KG1,KI,KP,KSV,KG,LA,LV,LB,LR,LY,LI,MO,MK,MG,MI,MW,MY,MV,ML,MAB,MH,MR,62,MX,MD,MC,MN,ME,MS,MA,MZ,MM,QZ,NR,NP,NH,NI,NE,NG,NU,NTV,PK,PW,PS,PA,PZ,QQ,PG,PY,QP,PE,74,PH,PU,QA,RU,RW,KN,XD,VC,WS,ST,SN,RS,CS,SC,SL,SB,SO,QO,LK,SD,SR,SY,TJ,TZ,TH,TL,TP,T2,TG,TO,T1,TT,TN,TR,TM,UG,UA,QM,UY,UZ,VU,VE,VN,EH,YE,XI,YU,XB,ZAI,ZM,ZW'**	**Alert or Case** Alert **Schedule** Weekly **Eval Time** Post-BOD **Schedule Freq** Weekly		

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