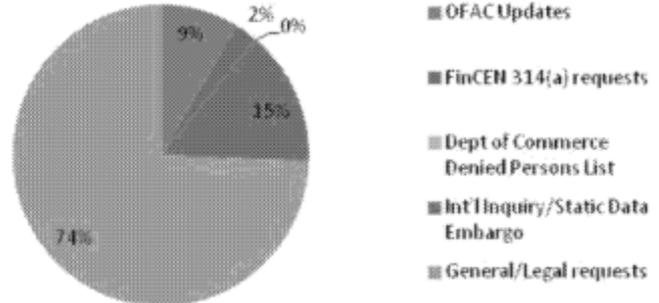




US PWM AML Search Requests March 2014

- 7 OFAC requests were received.
- 2 FinCEN 314(a) requests were received.
- 0 Department of Commerce Denied Persons List were received
- 12 International Inquiries and/or Static Data Embargo Inquiries were received.
- 61 General/Legal requests were received.

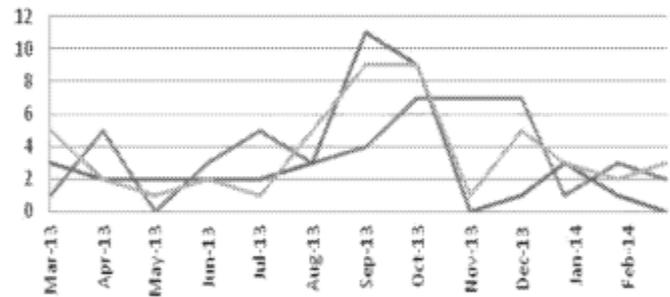
US PWM AML Search Requests



US PWM CTR and SAIF Filings March 2014

- 2 CTRs were filed in March 2014
- 0 SAIF was filed in March 2014 for the Private Bank.
- 3 SAIFs were filed in March 2014 for Private Client Services.

US PWM CTR and SAIF Filings



	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13	Jan-14	Feb-14	Mar-14
CTRs filed	1	5	0	3	5	3	4	7	7	7	1	3	2
PB SAIFs filed	3	2	2	2	2	3	11	9	0	1	3	1	0
PCS SAIFs filed	5	2	1	2	1	5	9	9	1	5	3	2	3