- Total transactions monitored in Prime for December 2013 is 74,291, which is 1.9% less than December 2012 volume.
- Total transactions monitored in Actimize for December 2013 is 52,235, which is 27.4% less than December 2012 volume.

- Prime cases to be researched for December 2013 is 221.
- Prime alerts to be researched for December 2013 is 609.
- Actimize alerts to be researched for December2013 is 379.

- Total number of Prime cases and alerts reviewed in December 2013 is 451.
- Total number of Actimize alerts reviewed in December 2013 is 282.

- Toal number of Prime account profiles set up or modified in December 2013 is 225.
- Total number of Prime customer and related parties information added in December 2013 is 226.

- 6 OFAC requests were received.
- 4 FinCEN 314(a) requests were received, which included 817 names.
- 0 Dept. of Commerce Denied Persons List were received.
- 5 International Inquiries/Static Data Embargo Inquiries were received.
- 77 General/Legal requests were received.
- Above data is for Nov and Dec 2013

- 7 CTRs were filed in December 2013.
- 6 SAIFs were filed; 1 for PB and 5 for PCS.



For internal use only

CONFIDENTIAL - PURSUANT TO FED. R. CRIM. P. 6(e)
CONFIDENTIAL

DB-SDNY-0063004
SDNY_GM_00209188
EFTA01370309