

From: Kshitij Golani [REDACTED]
Sent: 8/7/2017 12:50:36 PM
To: [REDACTED]
Subject: Your KYC has been rejected

Your KYC has been rejected.

Case #: 01790655

Relationship: EPSTEIN, JEFFREY RELATIONSHIP

Customer Name: Epstein, Jeffrey E.

Customer Number: 00000483289

Reason for Rejection: Rejection Points 08/07/2017:

- a) Jeanne Brennan Wiebracht (incorrect name) is still linked to this KYC. Please also add country of citizenship.
- b) Please add full address of primary residence under Jeffrey Epstein's individual details section.
- c) Please address court cases listed under research performed for Erika Kellerhals.
- d) J. Epstein Virgin Islands Foundation, Inc is missing GCIS#
- e) Please update the SOW narrative to include current information. The timeline in the narrative stops at 2004.
- f) The address on the paycheck is that of the company itself. Please provide an independent address verification such as utility bill under his name etc.

1 As per the driver's license, the legal name of the signatory is - Jean Anne Brennan-Wiebracht. Please fix the legal name in the system and re-run searches accordingly. (KG - PARTIAL RESOLVED. See Point "a" above)

2 Please provide Certificate of Good Standing for all entities dated within 6 months. (KG - RESOLVED)

3 Please tag the UBO as a PEP due to his close relationship with Bill Clinton and Prince Andrew. Please make sure to add this reasoning as well. (KG - RESOLVED)

4 Please confirm if there are any material changes and how the client was contact to confirm this information. You can add a case comment addressing this point. (KG - RESOLVED)

5 Please update the expected assets in the account after 90 days/120 days fields as the values entered does not match up with what is in the account today. (KG - RESOLVED)

6 Please indicate who the primary contact person is for the RM and their preferred method of contact. (KG - RESOLVED)

7 Please re-run research for Erika Kellerhals with her DOB and please indicate her country of citizenship under her KYC profile. ((KG - PARTIAL RESOLVED. See Point "c" above)

8 Please provide address verification for Jeffrey Epstein. (KG - PARTIAL RESOLVED. See point "b & f" above)

9 Please provide clearance for RDC Alert on Southern Financial LLC. (KG - RESOLVED)

To view the KYC click here <https://dbforcepb.my.salesforce.com/5003200001DD9rd>