
From: Vijay-A Sawant [REDACTED]
Sent: 7/25/2018 6:15:23 AM
To: Kshitij Golani [REDACTED]
CC: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]
Subject: FW: Your KYC has been rejected - Case #: 01946825
Attachments: Constitutional docs, COI & IRS Letter.pdf; Corp Resolution.pdf
Importance: High

Hi Kshitij,

I have resolved all points below and re-submitting this case to you for your approval.

Let me know if any queries, I look forward to your feedback, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India
Tel. [REDACTED]
Mob [REDACTED]

From: Vijay-A Sawant
Sent: Tuesday, July 24, 2018 6:02 PM
To: Kshitij Golani <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>
Subject: Your KYC has been rejected - Case #: 01946825
Importance: High

Good Morning Kshitij,

I have worked on the below rejection points and please see my comments below in red fonts for each -

Relationship: SOUTHERN FINANCIAL RELATIONSHIP Customer Name: Prytanee, LLC Customer Number: [REDACTED]
Reason for Rejection:

- 1) Please add the account number for the deposit account. - Updated
- 2) The address on the LLC Agreement does not match the address in the LLC Agreement. Please provide proof of address. – Attached DB bank statement evidencing the latest address. This address matches with the IRS letter, refer Pg 28 of attached pdf.
- 3) Please expand on the nature of business. What do they do with the investment in art work? Re-sell it? – Updated for Pierre Trust.
- 4) Richard Kahn has been tagged as a signatory. However, as per the DB Resolution uploaded under KYC – 1704382, he is not listed as a signatory. If Darren is indeed not a signatory, please remove him from this KYC. – Latest Corp Resolution (From 2017, attached for reference) reflects Darren Indyke as a signatory. Richard Kahn is NOT an RP on this account.
- 5) The Pierre Trust was created under the laws of USVI. Please re-run research with that country as well. - Done