

- 6) Can you please locate the full e-mail chain that proves that Chip Packards approval actually refers to Epstein's jail sentence? The current e-mail attachment uploaded does not refer to what is actually being discussed. – Exco approval (from Patrick Campion) already attached to the case (on 6/7/2018) which addresses Epstein's 21 month jail sentence.
- 7) Please attach the RDC Alert for Richard Kahn. – Attached
- 8) Please provide SOW verification for Jeffrey Epstein. – Attached
- 9) Please complete SOW narrative for Caroline and provide SOW verification as well. – Now attached
- 10) The SOW narrative for Jeffrey Epstein seems a bit outdated. It still refers to the Financial Trust Company as the SOW Company. However, based on the consent of board of directors meeting from 2013, Financial Trust Company merged into Southern Financial LLC with Southern Financial LLC as the surviving entity. Please updated the SOW narrative and provide research etc for the new entity. – Urgent rush request raised for Southern Financial LLC. SOW already updated to reflect the merger in the SOW section for Jeffrey Epstein.
- 11) Please explain in detail what this account is being used for. What are the monthly expenses of the company? - Updated
- 12) Please complete the 90 and one year values. The one year value is listed as \$1MM, however, the account currently has just less than \$200K. - Updated
- 13) Please provide COGS for Prytanee, LLC. - Attached
- 14) The account opened date in the KYC does not match the account opened date in DbForce. Please re-concile. – I see the "Date Opened" section reflects 1/20/2017 for this DB account accessed via the View Customer Accounts & Revenue option from the Customer page of this account. Kindly advise if this is still unacceptable?
- 15) Please add the state to Darren Indyke and Richard Kahn's address. – Updated for Darren. Mr Kahn is not added as RP on this case.
- 16) Please add the TAX ID/Identification number for Caroline. - Updated
- 17) Please provide proof of delivery for the FinCEN form. Please ensure it was e-mailed by the same person who signed the form (Jeffrey Epstein). – Signature verification done through Corporate Resolution (now attached to case) where Jeffrey's signature matches with that on the CDD form.
- 18) Please provide google searches for the appropriate entities and individuals. Please ensure you use the most up to date search string that is located in the US country addendum to the KOPs. – Google & Panama searches attached for Southern Financial LLC. Latest data string used for all Google searches.

Kindly advise on comments on Points 10 and 14 above and/or any other point which requires amendments and I will complete this case accordingly, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited – Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India
Tel. +91(22)6181-1485
Mobile +91 9833729812
vijay-s.sawant@db.com