

Investment Experience of Account Owner(s)

	Year First Traded	Average # Trades Per Year	Average Size of Trades In \$	Types of Previous Option Experience
Options				<input type="checkbox"/> Covered Call Writing <input type="checkbox"/> Purchased Options <input type="checkbox"/> Option Spreads <input type="checkbox"/> Uncovered Put Writing <input type="checkbox"/> Uncovered Call Writing <input type="checkbox"/> None
Equities				
Fixed Income				
Commodities and Futures				
Structured Products				
OTC Derivatives				
Foreign Currency				
Alternative Investments (Hedge Funds, Private Equity)				

Investment Objectives for the Client Account

(Select up to two adjacent objectives):

- Capital Preservation
 Income
 Growth
 Aggressive Growth

Representations Relating to Qualification of the Client

Check all that apply. At least one category must be checked.

I represent that Client qualifies as an "Accredited Investor" as defined in Regulation D under the Securities Act of 1933 because Client is:

- a natural person with individual income exceeding \$200,000 in each of the two most recent years or joint income with a spouse exceeding \$300,000 in each of those years and a reasonable expectation of the same income level in the current year;
- a natural person with individual net worth, or joint net worth with a spouse, over \$1,000,000, excluding primary residence;
- a trust with assets in excess of \$5,000,000, not formed for the specific purpose of acquiring the securities offered, whose purchases are directed by a sophisticated person;
- a revocable trust that may be amended or revoked at any time by the grantors and where all of the grantors are accredited investors;
- a charitable organization, corporation or partnership with assets exceeding \$5,000,000;
- a business in which all the equity owners are accredited investors;
- a bank, savings and loan association, registered broker or dealer, insurance company, registered investment company, business development company or licensed small business investment company;
- an employee benefit plan, within the meaning of ERISA, if a plan fiduciary that is a bank, insurance company or registered investment adviser makes the investment decisions, or if the plan has over \$5,000,000 in total assets or a self-directed plan with investment decisions made solely by accredited investors;
- a plan established and maintained by a state, its political subdivisions or any agency or instrumentality thereof, for the benefit of its employees with total assets in excess of \$5,000,000; or
- a director, executive officer or general partner of the issuer of the securities being offered.