
From: Vijay-A Sawant

Sent: Tuesday, August 14, 2018 2:01 PM

To: Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>

Cc: Alka Babu <[REDACTED]>

Subject: RE: 2018 Periodic Review of Accts NES, LLC (GCIS#487265), Plan D, LLC (GCIS#487219), The Haze Trust (GCIS#486428) & The 2007 Jeffrey E. Epstein Insurance Trust#3 ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Importance: High

Hi Brad,

There are 2 more accounts from the SOUTHERN FINANCIAL RELATIONSHIP that are pending review for 2018. They are Southern Financial LLC and LSJE LLC. I will be sending their initial emails later today so review of ALL accounts under this relationship are complete for 2018. Please note I am not launching cases until I get confirmation of material changes, so kindly advise accordingly, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management

B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway

Goregaon East, Mumbai - 400063, India

Tel. [REDACTED]

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From: Bradley Gillin

Sent: Monday, August 13, 2018 7:24 PM

To: Vijay-A Sawant <[REDACTED]>; Stewart Oldfield <[REDACTED]>

Cc: Alka Babu <[REDACTED]>

Subject: RE: 2018 Periodic Review of Accts NES, LLC (GCIS#487265), Plan D, LLC (GCIS#487219), The Haze Trust (GCIS#486428) & The 2007 Jeffrey E. Epstein Insurance Trust#3 ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Classification: **For internal use only**

Vijay,

Big thank you. Is this all of the remediation due for the Southern Trust Relationship or do you see additional KYC's coming up - I would like to go back to them with one email. Thanks.

Regards,

Brad

From: Vijay-A Sawant

Sent: Monday, August 13, 2018 9:05 AM

To: Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>

Cc: Alka Babu <[REDACTED]>

Subject: 2018 Periodic Review of Accts NES, LLC (GCIS#487265), Plan D, LLC (GCIS#487219), The Haze Trust