
From: Mayur Rathod [REDACTED]
Sent: 10/16/2018 10:19:48 AM
To: Kshitij Golani [REDACTED]
Subject: RE: Your KYC has been rejected [I]
Attachments: Address Verification [I] (10.8 KB).msg

Classification: For internal use only

Hi K.G,

Please refer my comments below.

Thanks & Regards

Mayur Rathod

KYC Case Representative

Deutsche CIB Centre Private Limited

Wealth Management

Floor 1, B1, DB Centre Mumbai

Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai 400063, India

Tel.: +91 22 61812406

Email: [REDACTED]

-----Original Message-----

From: Kshitij Golani [mailto:[REDACTED]]
Sent: Friday, October 05, 2018 1:18 AM
To: Mayur Rathod <[REDACTED]>
Subject: Your KYC has been rejected

Your KYC has been rejected.

Case #: 01977695

Relationship: EPSTEIN, JEFFREY RELATIONSHIP Customer Name: Epstein, Jeffrey E.

Customer Number: 00000483289

Reason for Rejection:

- 1) Please provide documentation that evidences signing authority for - Southern Trust Company Inc - N4G023812 - DBSI. (KG - UNRESOLVED. After reviewing this account in NetEx, it seems that Paul S Barrett is was added as an LPOA. Please add him and provide the appropriate research etc.) - Attached the document and added Paul Barrett as an LPOA, also ran relevant researches on him which are attached in the case.
- 2) Please provide proof of address for Zorro Management LLC? (KG - UNRESOLVED. Please provide independent proof) - Attached DB Statement for the month of Sep 2018 for Zorro Management LLC. Also please refer attached email confirmation from Daphne on address verification. Kindly confirm if these will suffice.
- 3) The research for Zorro Management LLC has a completely different entity under RDC. Please re-run. (KG - RESOLVED) - Already Resolved.
- 4) Please address the "Gerber Et Al V. The Financial Trust Company Et Al" lawsuit under Jeffrey Epsteins research. (KG - UNRESOLVED. As per the email from Kim Hart, the conditional approval was based on "so long as nothing further is identified through KYC and AML client adoptions". The below is what this lawsuit is about. Please have the business escalate to Kim Hart to see if this poses any further risk and may need to be captured:
Investors Claim Jeffrey Epstein Built \$473M Ponzi Scheme: Shareholders filed a putative class action against financier, billionaire and convicted sex offender Jeffrey Epstein in New York federal court on Tuesday for his alleged role in developing and executing a \$473 million Ponzi scheme for which Steven Hoffenberg was convicted in 1997. - The case has been dismissed. Attached notice of voluntary dismissal document in the case.
- 5) The Board of Directors of Southern Trust Company Inc is Jeffrey, Darren and Richard and the affairs of the company is managed by the directors. Thus, making Richard a legal rep. Please add him as a related party and provide the appropriate research, Ids etc. (KG - RESOLVED) - Already Resolved.

To view the KYC click here <https://dbforcepb.my.salesforce.com/5000e00001NOBOW>