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From: Rob Wang
Sent: Monday, October 15, 2018 5:50 PM
To: Trey Jackson <[REDACTED]>
Cc: Ashvinkumar Chougule <[REDACTED]>; Ronny Fung <[REDACTED]>
Subject: New Client Risk rating for WM clients [1]

Classification: **For internal use only**

Hi Trey,

I was given your contact by Kshitij Golani in regards to getting documentation on the new client risk rating methodology (CRRE) that went live on October 1st for WM clients. I am part of FCA&I, which oversees the transaction monitoring platform for Wealth Management, where the kyc risk calculator was utilized in determining a client risk rating for TM purposes. Please let us know if you can assist in providing us any documentation of the recent changes.

Thanks

Rob



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