
From: Stewart Oldfield [REDACTED]
Sent: 11/28/2017 2:48:29 PM
To: Cynthia Rodriguez [REDACTED]
Subject: RE: Third Lake acct/balance history [I]

Classification: **For internal use only**

Perfect. Thanks!

From: Cynthia Rodriguez
Sent: Tuesday, November 28, 2017 2:41 PM
To: Stewart Oldfield <[REDACTED]>
Subject: RE: Third Lake acct/balance history [I]

Classification: **For internal use only**

Okay, so here we go. Let me know if this is what you're looking for:

2016 AUMs

- January to April - \$0
- May to August - \$107mn
- **Sept 2016 - \$8mn (DOJ issue)**
- October to December - \$0

A total of 16 accounts (deposit and CD accounts) were closed in 2016 due to DOJ. The only two accounts remained open in 2016 were:

- GEW 2007, LLC
- CRW 2007, LLC

*These accounts had a few cents in them.

AUMs for 2017

- January - None
- February- \$3mn
- March - \$79mn
- April- \$114mn
- **May - June- \$130mn (peak)**
- July- \$92mn
- August- \$91mn
- September - \$87mn
- **October - \$82mn (current AUM)**

In 2017, we opened a total of 37 accounts (DBTCA, DB AG and CD accounts).

New Accounts for 2017

- Nancy Devane and her family. (2 accounts: DBTCA/CD)
- Todd Wanek 2015 and 2016 GRATs (6 accounts: DBTCA/CD/DBAG)
- Shari Wagner 2015 and 2016 GRATs (6 accounts: DBTCA/CD/DBAG)

**We have closed 6 accounts in 2017, but only because the client no longer needed the GRAT account anymore.

OUTSIDE OF THIRD LAKE:

Not sure if you want to include, but Southern Financial gave us some deposits for DB AG this year as well.