
From: Rob Wang [REDACTED]
on behalf of Amlcompliance Inquiries [REDACTED]
Sent: 4/10/2018 10:53:59 AM
To: Hildegard Berrios [REDACTED]; Stewart Oldfield [REDACTED]
CC: Michele-M Quattrocchi [REDACTED]; Amlcompliance Inquiries [REDACTED]; Rob Wang [REDACTED]
Subject: RE: Inquiry Regarding Account [REDACTED], Client: LSJE, LLC; Alert No. 83817 - DUE April 11, 2018 [I]

Classification: **For internal use only**

Friendly reminder the inquiry is due tomorrow.

Best regards

Robert

From: Rob Wang **On Behalf Of** Amlcompliance Inquiries
Sent: Thursday, April 05, 2018 11:04 AM
To: Hildegard Berrios <[REDACTED]>; Michele-M Quattrocchi <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>; Amlcompliance Inquiries <[REDACTED]>; Rob Wang <[REDACTED]>
Subject: RE: Inquiry Regarding Account [REDACTED], Client: LSJE, LLC; Alert No. 83817 - DUE April 11, 2018 [I]

Classification: **For internal use only**

Hi Hildegard, Michele,

Please assist as Cynthia is no longer at the firm.

Thanks,

Robert

From: Rob Wang **On Behalf Of** Amlcompliance Inquiries
Sent: Thursday, April 05, 2018 11:01 AM
To: Cynthia Rodriguez <[REDACTED]>; Stewart Oldfield <[REDACTED]>
Cc: Amlcompliance Inquiries <[REDACTED]>; Rob Wang <[REDACTED]>
Subject: Inquiry Regarding Account [REDACTED], Client: LSJE, LLC; Alert No. 83817 - DUE April 11, 2018 [I]
Importance: High

Classification: **For internal use only**

Good Morning:

The above referenced account has had the following activity. To assist in this review and further document your supervision of this account, please provide information regarding the following transactions. **Your complete response must be received within 5 business days.**

Outgoing Wires:

2/7/2018 , \$3,000 for [REDACTED]
2/9/2018 , \$5,000 for [REDACTED]