



DB12000P - Deutsche Bank Private Bank

Batch Date: 6/15/2017

Added to Monitoring: 06/15/2017

Alert Date:

Alert

6/15/2017

Organization: Southern Financial LLC

Address: Country: VIRGIN ISLANDS, U.S.

Tracking ID: KYC 1790655

Reporting ID:

Alerted Entity #: 1 of 4

Risk Priority: Critical

Alert ID: 9476247.11602637.65444359

Entity Information

Entity ID: 65444359

Riskid: R22434574

Entity Name: Southern Financial Group Inc.

Address: • Charleston, South Carolina, UNITED STATES
• Columbia, South Carolina, UNITED STATES

Recent Event(s): • SEC - SEC Violations (Insider Trading, Securities Fraud) / CHG - Charged, 02/11/2008

Southern Financial Group was later shut down by the Securities and Exchange Commission after being charged in 2002 with a \$25 million Ponzi scheme. The alleged fraud was unrelated to the Capstone bonds., 02/11/2008, [Investment News](#)

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