
From: Carl Timcke [REDACTED]
on behalf of PWMUS AMLKYC [REDACTED]
Sent: 7/5/2017 11:27:23 AM
To: Kshitij Golani [REDACTED]
Subject: FW: RDC Alert Richard Kahn [I]
Attachments: Richard Kahn (RDC Alert).pdf; Richard Kahn (search).pdf; Richard Kahn Clearance.pdf

Classification: **For internal use only**

Hi K.G.,

Want to see if you can do these 2 alerts for Richard Kahn? I took a quick glance at the prior clearance from Cynthia. Not sure if it applies to the alerts. Let me know if you have any questions.

Regards,

Carl Timcke



Carl Timcke
Associate | AML Compliance Officer

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Passion to Perform |

From: Cynthia Rodriguez
Sent: Friday, June 30, 2017 10:03 AM
To: PWMUS AMLKYC [REDACTED]
Subject: RDC Alert Richard Kahn

Dear AML Compliance,

Attached is an RDC alert for Richard Kahn, appearing on the NY stock exchange disciplinary actions. I believe this alert has previously been cleared. Please see attached clearance and provide updated clearance. Thank you.

Kind regards,

Cynthia Rodriguez