
From: Bradley Gillin [REDACTED]
Sent: 7/11/2018 10:37:02 AM
To: Vijay-A Sawant [REDACTED]; Stewart Oldfield [REDACTED]
Subject: RE: Assistance Required in solving rejection points on KYC Case#01946825 [I]
Attachments: STATEMENT PROVING ADDRESS.pdf
Importance: High

Classification: **For internal use only**

Vijay,
See below. Call if you have questions. Thanks again.

2) The address on the LLC Agreement does not match the address in the LLC Agreement. Please provide proof of address.

This makes no sense - LLC agreement do not always accurately reflect address as companies move.

Address is:
6100 RED HOOK QUARTER, B3
ST. THOMAS 00802
UNITED STATES VIRGIN ISLANDS

DB Statement is **attached**. CDD form in the case's attachments also reflects this.

6) Can you please locate the full e-mail chain that proves that Chip Packards approval actually refers to Epstein's jail sentence? The current e-mail attachment uploaded does not refer to what is actually being discussed.

- Exco approval, in attachments, from Patrick Campion, further supports.

8) Please provide SOW verification for Jeffrey Epstein.

- He is a Billionaire. Jeffery Epstein is a client at DB. Personal account balance = \$4mn.
- Last KYC including Epstein approved case # 1898372 – 2/13/2018

9) Please complete SOW narrative for Caroline and provide SOW verification as well.

- The source of wealth for Caroline Lang derives from her employment as Chairman of Sotheby's Switzerland. Caroline Lang is internationally recognized for her in-depth knowledge of the art market and her longstanding expertise in Impressionist, Modern and Contemporary art. Appointed Chairman of the Swiss Department of Impressionist, Modern & Contemporary Art in 2012, this consummate polyglot has advised some of the most important collectors in Switzerland and worldwide. In November 2011, she played a decisive role in the New York sale of Abstraction-Figuration, an exceptional private collection of abstract works by Gerhard Richter and Max Ernst which realized a world auction record for a work by Richter at the time. Caroline Lang has also conducted major marathon auctions in Switzerland, the UK and Germany, including the historic week-long sales of works of art from Monrepos Castle in Ludwigsburg in 2000 and the Royal House of Hanover in 2005. Ms Lang also serves as an executive for Warner Brothers Inc. Her annual income is approx. 450k.
- Sotheby's is one of the most prominent and well know art auction houses in the world. If she is the chairman, that should be verification.

10) The SOW narrative for Jeffrey Epstein seems a bit outdated. It still refers to the Financial Trust Company as the SOW Company. However, based on the consent of board of directors meeting from 2013, Financial Trust Company merged into Southern Financial LLC with Southern Financial LLC as the surviving entity. Please updated the SOW narrative and provide research etc for the new entity.

- **Refer to Last KYC Approved case # 1898372 – 2/13/2018**
- Jeffrey Edward Epstein (born January 20, 1953) is an American financier and registered sex offender in the United States. He worked at Bear Stearns early in his career and then formed his own firm, J. Epstein & Co. In 2008, Epstein was convicted of soliciting an underage girl for prostitution, for which he served 13 months in prison. He lives in the US Virgin Islands. Epstein taught calculus and physics at the Dalton School in Manhattan from 1973 to 1975. Among his students was a son of Alan C. Greenberg, chairman of Bear Stearns.
- In 1976, Epstein started work as an options trader at Bear Stearns where he worked in the special products division, advising high-net-worth clients on tax strategies. Proving successful in his financial career, in 1980 Epstein became a partner at Bear Stearns.
- In 1982, Epstein founded his own financial management firm, J. Epstein & Co., managing the assets of clients with more than \$1 billion in net worth. In 1987, Leslie Wexner, founder and chairman of Ohio-based The Limited chain of women's clothing