

Portfolio Holdings Disclosures (continued)

Ratings

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Messages

Please note the following information for Pershing's 2018 IRS Form 1099 (B, DIV, INT, MISC and OID): Your Form 1099 will be delivered as early as possible, beginning January 31, 2019. By February 15, you will be mailed either your Form 1099 or a special Pending 1099 Notice. The Pending 1099 Notice will be sent if we have not received and processed final information from issuers and trustees of securities that you hold by the as of date of the February 15 1099 mailing. You will also be sent the notice if your information is still being reviewed and finalized. The notice will inform you of the securities that are pending final reporting and provides the anticipated mail date of your 1099. Your 1099 will be mailed no later than March 15, 2019.

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform.

Activity Summary (All amounts shown are in base currency)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
<b>Securities</b>						
Securities Bought	0.00	0.00	0.00	0.00	-1,019,995.58	-1,019,995.58
Securities Sold	0.00	0.00	0.00	7,637,693.44	0.00	7,637,693.44
Securities Deposited	0.00	0.00	0.00	2,775,875.00	0.00	2,775,875.00
<b>Total Securities</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$10,413,568.44</b>	<b>-\$1,019,995.58</b>	<b>\$9,393,572.86</b>
<b>Dividends and Interest</b>	<b>\$8,205.17</b>	<b>\$0.00</b>	<b>\$8,205.17</b>	<b>\$186,327.91</b>	<b>\$0.00</b>	<b>\$186,327.91</b>
<b>Cash</b>						
Withdrawals	0.00	0.00	0.00	0.00	-6,000,000.00	-6,000,000.00
<b>Total Cash</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>-\$6,000,000.00</b>	<b>-\$6,000,000.00</b>
<b>Totals</b>	<b>\$8,205.17</b>	<b>\$0.00</b>	<b>\$8,205.17</b>	<b>\$10,599,896.35</b>	<b>-\$7,019,995.58</b>	<b>\$3,579,900.77</b>

Account Number [REDACTED]

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