

December 1, 2018 - December 31, 2018
SOUTHERN FINANCIAL LLC

Portfolio Holdings Disclosures (continued)

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Ratings

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Schedule of Realized Gains and Losses Current Period

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Short Term								
EURO CURRENCY	12/20/18	12/19/18	Noncovered*	First In First Out / SELL	796,815.200	913,947.03	910,042.64	3,904.39
Security Identifier: EUR999995								
UBI SOFT ENTERTAINME	12/19/18	03/21/18	Covered	First In First Out / SELL	5,600.000	455,058.33	489,130.40	-34,072.07
0000054470 SA MONTREUIL ACT	12/19/18	03/27/18	Covered	First In First Out / SELL	5,600.000	455,058.32	485,068.46	-30,010.14
Security Identifier: F9396N106								
Total					11,200.000	910,116.65	974,198.86	-64,082.21
GENERAL ELECTRIC CO	12/19/18	02/23/18	Covered	First In First Out / SELL	2,500.000	18,889.63	40,551.71	-21,662.08
Security Identifier: 369604103								
	12/19/18	02/26/18	Covered	First In First Out / SELL	2,500.000	18,889.63	40,551.71	-21,662.08
	12/19/18	03/05/18	Covered	First In First Out / SELL	800.000	6,044.68	12,980.63	-6,935.95
	12/19/18	03/07/18	Covered	First In First Out / SELL	5,000.000	37,779.26	81,097.41	-43,318.15
	12/19/18	03/12/18	Covered	First In First Out / SELL	2,500.000	18,889.63	40,551.71	-21,662.08
	12/19/18	03/16/18	Covered	First In First Out / SELL	11,700.000	88,403.45	189,759.90	-101,356.45
Total					25,000.000	188,896.28	405,493.07	-216,596.79
NINTENDO LTD ADR	12/19/18	02/05/18	Covered	First In First Out / SELL	10,000.000	333,989.65	517,906.00	-183,916.35
Security Identifier: 654445303								
Total Short Term						2,346,949.61	2,807,640.57	-460,690.96

