

February 1, 2019 - February 28, 2019
SOUTHERN FINANCIAL LLC

Portfolio Holdings Disclosures (continued)

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Schedule of Realized Gains and Losses Current Period

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Short Term								
EURO CURRENCY	02/07/19	02/07/19	Noncovered*	First In First Out / SELL	2,588,881.950	2,934,083.47	2,939,157.68	-5,074.21
Security Identifier: EUR999995	02/11/19	02/07/19	Noncovered*	First In First Out / BUY	-42.570	48.25	48.03	0.22
Total					2,588,839.380	2,934,131.72	2,939,205.71	-5,073.99
CASINO, GUICHARD-PER	02/07/19	09/12/18	Covered	First In First Out / SELL	2,500,000.000	2,874,437.69	2,907,743.60	-33,305.91
ISIN# FR0011301480 4.407% 08/0				Original Cost Basis: 2,907,743.60				
6/19 REG DTD 08/03/12								
Security Identifier: F1413LCN4								
HONG KONG DOLLAR CUR	02/14/19	06/01/18	Noncovered*	First In First Out / SELL	113,600.000	14,478.71	14,475.39	3.32
Security Identifier: HKD999999	02/14/19	06/05/18	Noncovered*	First In First Out / SELL	69,642.250	8,876.15	8,872.53	3.62
Total					183,242.250	23,354.86	23,347.92	6.94

Account Number: [REDACTED]

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