

March 1, 2019 - March 31, 2019
SOUTHERN FINANCIAL LLC

Activity Summary (All amounts shown are in base currency)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	0.00	0.00	0.00	-48.47	-48.47
Securities Sold	0.00	0.00	0.00	14,106,029.24	0.00	14,106,029.24
Securities Withdrawn	0.00	-40,073,600.00	-40,073,600.00	0.00	-111,272,585.75	-111,272,585.75
Securities Deposited	0.00	0.00	0.00	27,246,630.00	0.00	27,246,630.00
Total Securities	\$0.00	-\$40,073,600.00	-\$40,073,600.00	\$41,352,659.24	-\$111,272,634.22	-\$69,919,974.98
Dividends and Interest	\$83.90	\$0.00	\$83.90	\$665,178.35	\$0.00	\$665,178.35
Distributions	\$0.00	\$0.00	\$0.00	\$147,367.92	\$0.00	\$147,367.92
Fees	\$0.00	\$0.00	\$0.00	\$0.00	-\$4.00	-\$4.00
Other	\$0.00	\$0.00	\$0.00	\$0.00	-\$48.38	-\$48.38
Cash						
Withdrawals	0.00	-190,415.39	-190,415.39	0.00	-24,405,818.94	-24,405,818.94
Deposits	0.00	0.00	0.00	4,322,569.40	0.00	4,322,569.40
Total Cash	\$0.00	-\$190,415.39	-\$190,415.39	\$4,322,569.40	-\$24,405,818.94	-\$20,083,249.54
Totals	\$83.90	-\$40,264,015.39	-\$40,263,931.49	\$46,487,774.91	-\$135,678,505.54	-\$89,190,730.63

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
Securities Withdrawals and Deposits								
03/11/19	03/08/19	SECURITY DELIVERED	APPLE INC COM 0534 INTERACTIVE BROKERS LLC/RETAIL A/C	-224,000.000			-40,073,600.00	USD
		AAPL	U2268698					
Total Securities Withdrawals and Deposits - U.S. DOLLARS						0.00	-40,073,600.00	
Dividends and Interest								
03/08/19		MONEY MARKET FUND	CASH AC TR DWS GOVT & AGENCY INSTL INCOME REDEEMED				83.90	USD
		INCOME RECEIVED						
		PER232045						
Total Dividends and Interest - U.S. DOLLARS						0.00	83.90	

Page 5 of 9

Account Number: XXXXXXXXXX
A009987KSF0025

Go paperless
ASK ABOUT E-DELIVERY



Rated Excellent
Every Year Since 2007
DALBAR RATED COMMUNICATIONS
EXCELLENCE

Clearing through Pershing LLC, a wholly owned
subsidiary of The Bank of New York Mellon
Corporation (BNY Mellon)
Pershing LLC, member FINRA, NYSE, SIPC

CONFIDENTIAL - PURSUANT TO FED. R. CRIM. P. 6(e)
CONFIDENTIAL

DB-SDNY-0065698
SDNY_GM_00211882
EFTA01372097