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**From:** Brigid Macias [REDACTED]  
**Sent:** 3/29/2019 4:30:51 PM  
**To:** Bella Klein [REDACTED]  
**CC:** Natalie Barak [REDACTED]; Stewart Oldfield [REDACTED]  
**Subject:** FW: returned funds to Caterpillar  
**Importance:** High

Good Afternoon,

Please note we will need a signed letter from the authorized person to re-open the account and credit the funds accordingly as this was a client instructed closing of the account.

Kind regards,  
Brigid Macias



**Brigid Macias**  
Assistant Vice President

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Securities offered through Deutsche Bank Securities Inc.

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**From:** Natalie Barak  
**Sent:** Friday, March 29, 2019 3:52 PM  
**To:** Brigid Macias <[REDACTED]>  
**Cc:** Bradley Gillin <[REDACTED]>  
**Subject:** Fwd: returned funds to Caterpillar

Hi Brigid. Can we reopen the account? What would we need?

Natalie Barak

Begin forwarded message:

**From:** Richard Kahn <[richardkahn12@gmail.com](mailto:richardkahn12@gmail.com)>  
**Date:** March 29, 2019 at 3:35:01 PM EDT  
**To:** Natalie Barak <[natalie.barak@db.com](mailto:natalie.barak@db.com)>  
**Cc:** Bella Klein <[REDACTED]>, Stewart Oldfield <[REDACTED]>, Bradley Gillin <[REDACTED]>  
**Subject:** Re: returned funds to Caterpillar