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**From:** Vijay-A Sawant

**Sent:** Friday, August 24, 2018 3:33 PM

**To:** Richard Iarossi [REDACTED]; Stewart Oldfield [REDACTED]  
**Cc:** Bradley Gillin [REDACTED]; Terri Sohrab [REDACTED]; Mayur Rathod [REDACTED]

**Subject:** Re: 2018 Periodic Review of High Risked Accounts J. Epstein Virgin Islands Foundation, Inc [REDACTED] & Hyperion Air, LLC ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP

**Importance:** High

Hi Richard/Stewart,

We have already received no material change confirmation from Brad as per attached email on the accounts listed in the subject line, upon which we have launched KYC case#01977699 for their remediation. After this confirmation, the following items are required for this case to be completed successfully -

- For **J. Epstein Virgin Islands Foundation, Inc** - We found the IRS letter which confirms that this is a 501 (c ) (3) entity but we are unable to obtain 'SoS' or screenshot from the IRS website. This could mean that this entity is no longer tax exempt and/or active. Could you provide the 'SoS' from IRS website or advise on this please?
- **Hyperion Air, LLC** – SOS from USVI website or COGS dated within 6 months

Above items should clear this case for approval submission, so kindly provide accordingly, thanks.

Kind Regards,



**Vijay Sawant**

KYC Case Representative  
Deutsche CIB Centre Private Limited - Deutsche Bank Group  
Wealth Management  
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway  
Goregaon East, Mumbai - 400063, India

Thanks & Regards



**Mayur Rathod**

KYC Case Representative  
Deutsche CIB Centre Private Limited  
Wealth Management  
Floor 1, B1, DB Centre Mumbai  
Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai 400063, India

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**From:** Vijay-A Sawant

**Sent:** Monday, July 30, 2018 4:20 PM