

**From:** Vijay-A Sawant [REDACTED]  
**Sent:** 10/8/2018 8:27:09 AM  
**To:** Bradley Gillin [REDACTED]; Richard Iarossi [REDACTED]; Stewart Oldfield  
**CC:** Vinita Advani [REDACTED]; Mayur Rathod [REDACTED]; Daphne Cales  
**Subject:** Pending Responses on Rejection Cases  
**Attachments:** Assistance required in resolving Rejection Points - KYC 01977695 (9.77 KB).msg; RE\_ Jeffries KYC Items [I] (16.0 KB).msg; Assistance Required in Resolving Rejection Points KYC # - 01977700 (628 KB).msg; Assistance required in resolving Rejection Points - KYC 01977695 (9.77 KB).msg; RE\_ Assistance Required in Resolving Rejection Points in KYC#01966151 [... (5.56 MB).msg; RE\_ Prime Overseas Investments and Enterprises Limited - Annual Review an... (237 KB).msg; Assistance Required in Resolving Rejection Points - KYC Case#01883718 (3.42 MB).msg

Hello Brad/Rich/Stewart,

We are awaiting responses on the following KYC rejections on high risk & overdue accts, which we would appreciate if you can provide responses at the earliest, if possible. Last emails attached for each account for reference.

GCIS Customer Number	KYC ID	Full Legal Name	Case Manager	RAG	Status	Next Step by
438744	1825141	JCP IV QP, LLC	Vijay Sawant	Overdue	Quality Rejection	with Client
550331	1977695	Zorro Management, LLC	Mayur Rathod	Overdue	Quality Rejection	
499760	1977700	Gratitude America Ltd	Mayur Rathod	Overdue	Quality Rejection	with RM
483883	1977695	Southern Trust Company, Inc.	Mayur Rathod	Overdue	Quality Rejection	
483289	1977695	Epstein, Jeffrey E.	Mayur Rathod	Overdue	Quality Rejection	
456209	1966151	Cerberus Capital Management, L.P.	Vijay Sawant	Overdue	Quality Rejection	with RM
535752	1957327	Prime Overseas Investments and Enterprises Limited	Vijay Sawant	Overdue	Quality Rejection	with Client
458737	1883718	Techview Investments Ltd.	Vijay Sawant	Due soon	Quality Rejection	with RM

Regards,



Vijay Sawant

KYC Case Representative  
 Deutsche CIB Centre Private Limited - Deutsche Bank Group  
 Wealth Management  
 B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway  
 Goregaon East, Mumbai - 400063, India  
 Tel. +91(22)6181-1485  
 Mobile +91 9833729812  
[vijay-a.sawant@db.com](mailto:vijay-a.sawant@db.com)