
From: Vijay-A Sawant [REDACTED]
Sent: 10/8/2018 6:29:34 AM
To: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]
CC: Richard Iarossi [REDACTED]; Mayur Rathod [REDACTED]
Subject: Assistance required in resolving Rejection Points - KYC [REDACTED]

Good Morning Brad/Stewart,

We require your assistance today in resolving below rejection points –

Relationship: EPSTEIN, JEFFREY RELATIONSHIP Customer Name: Epstein, Jeffrey E.

Customer Number: [REDACTED]

Reason for Rejection:

- 1) Please provide documentation that evidences signing authority for - Southern Trust Company Inc - N4G023812 – DBSI. (KG – UNRESOLVED. After reviewing this account in NetEx, it seems that Paul S Barrett is was added as an LPOA. Please add him and provide the appropriate research etc.) – Kindly advise on this? Does Paul Barrett really need to be added? He was not added in previously approved cases. Kindly provide supporting documentation if your answer is yes.
- 2) Please provide proof of address for Zorro Management LLC? (KG – UNRESOLVED. Please provide independent proof) – Please advise if ok to use DB bank statement as 'independent' proof of address or provide any alternative relevant doc, if available. They are not accepting address verification from the LLC Agreement for this entity.
- 4) Please address the "Gerber Et Al V. The Financial Trust Company Et Al" lawsuit under Jeffrey Epsteins research. (KG – UNRESOLVED. As per the email from Kim Hart, the conditional approval was based on "so long as nothing further is identified through KYC and AML client adoptions". The below is what this lawsuit is about. Please have the business escalate to Kim Hart to see if this poses any further risk and may need to be captured: - Please provide this Investors Claim Jeffrey Epstein Built \$473M Ponzi Scheme: Shareholders filed a putative class action against financier, billionaire and convicted sex offender Jeffrey Epstein in New York federal court on Tuesday for his alleged role in developing and executing a \$473 million Ponzi scheme for which Steven Hoffenberg was convicted in 1997.

We look forward to your responses so we can submit this rejection case accordingly, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited – Deutsche Bank Group
Wealth Management
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