
From: Richard Iarossi
Sent: Friday, September 14, 2018 3:00 AM
To: Vijay-A Sawant [REDACTED]; Bradley Gillin [REDACTED]
Subject: RE: Assistance Required in Resolving Rejection Points in KYC# [REDACTED] [C] [I]

Classification: **For internal use only**

Hi Vijay,

Per our conversation yesterday, please see ID for William Ritcher.

We do not have his SSN on file, but can discuss tomorrow morning.

Thanks,
Rich

Richard Iarossi
Assistant Vice President

Deutsche Bank Wealth Management
345 Park Avenue, 24th Floor
New York, NY 10154
[REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Vijay-A Sawant
Sent: Tuesday, September 11, 2018 11:07 AM
To: Bradley Gillin [REDACTED]
Cc: Richard Iarossi [REDACTED]
Subject: RE: Assistance Required in Resolving Rejection Points in KYC# [REDACTED] [I] [C]
Importance: High

Hi Brad,

Regarding this KYC case, could you provide SSN for William L. Richter, please? Since he is the UBO in this account, we require this as part of CIP, thanks in advance.

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India
Tel. [REDACTED]
Mobile [REDACTED]
[REDACTED]

From: Bradley Gillin
Sent: Thursday, August 23, 2018 7:28 PM