

Please expand on the purpose for each account. What is the account being used for? – Please advise this for bank account numbers **77002215** and **44135476** for this entity.

- Confirmed – for both **77002215** and **44135476** account. **77002215** is a DB AG preferred account. **44135476** is a money market account. You need fund a money market account before you can fund a DB AG preferred account. The DB AG preferred account pays a highest interest rate and has daily liquidity.

3) Please update the expected inflows/outflows throughout each account. Per transactional activity, this information is inaccurate. Please update. – Please advise for both accounts **77002215** and **44135476**. I checked DBInsight and noticed that the Money market acct (**44135476**) has no inflow/outflow since May 2018. For acct#(**77002215**), I see interest payment coming in for approx 100k every month. Please advise if correct so I can update accordingly.

- This is correct – as referenced above the money market was only used to fund DBAG account with the \$80.8mn balance. Therefore, the money market account would have no inflow/outflow since the actual funding date.
- Interest payment of approx. \$110,000 hits the DB AG account at the end of each month.

5) Please provide evidence of signing authority for account #77002215. – Could you provide relevant doc for this account, please? I am unable to find this in the repository. I found the attached doc for the other account. Please provide the same for acct#**77002215**.

- See attached executed deposit application to DBAG signed by Lomansky. This account is a deposit account. The signing authority is the same for the money market account – also a deposit account. This is a ridiculous rejection point. There is an existing client with \$80mn in this account.

Regards,  
Brad



Bradley Gillin  
Vice President  
Deutsche Bank Wealth Management



Securities offered through Deutsche Bank Securities Inc.

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**From:** Vijay-A Sawant 700  
**Sent:** Wednesday, August 22, 2018 6:27 AM  
**To:** Bradley Gillin <[REDACTED]>  
**Cc:** Terri Sohrab <[REDACTED]>; Richard Iarossi <[REDACTED]>  
**Subject:** RE: Assistance Required in Resolving Rejection Points in KYC#01966151 [I]  
**Importance:** High

Hi Brad,

Many thanks for the below confirmation. I have updated the case accordingly. Now only the following rejection points are pending resolution -