

Primary Country of source of wealth/source of Funds?:	United States
Primary industry of source of Wealth/Source of Funds?:	High Risk Financial Institutions
Describe Source of Corporate Assets:	As per operating agreement, this entity is engaged in investment, trading or financing activities of multiple Cerberus accounts.
Estimated Annual Gross Income(Entity):	
Estimated Annual Net Profit(Entity):	
Estimated Investable/Liquid Assets:	<\$1 MM
Amt of assets planned to invest w/ PWM:	

Additionally kindly provide the constitutional doc for Craig Court, Inc as I am unable to confirm the ownership info to the AML team.

Additionally constitutional doc required for **Richter Investment Corp**. This is to enable completing all sections in the KYCWizard for this RP.

This entity is registered by the SEC, which means the GP and LP are also as UBOs. They both are reflected accurately in the ADV form. There should be no documentation required for both. See information and link below:

- Describe the chain from the direct owner Registered with the SEC. The GP is Craig Court Inc and the LP is Richter Investment Corphttps://adviserinfo.sec.gov/IAPD/content/ViewForm/crd_iapd_stream_pdf.aspx?ORG_PK=152175

Kindly provide the above items in addition to items listed in below email so facilitate resolving the rejection points, thanks.

Regards,
Brad



Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154

Securities offered through Deutsche Bank Securities Inc.

From: Vijay-A Sawant
Sent: Tuesday, August 21, 2018 4:12 AM
To: Bradley Gillin [REDACTED]
Cc: Terri Sohrab [REDACTED]
Subject: FW: Assistance Required in Resolving Rejection Points in KYC#01966151
Importance: High

Hello Brad,

A kind reminder of the below rejection.

Regards,