
From: Stewart Oldfield [REDACTED]
Sent: 5/23/2019 8:38:50 PM
To: Leslie Roth [REDACTED]
CC: Robert Frohlich [REDACTED]
Subject: Re: Southern Financial - 2018 revenues [C]

Southern never traded CDs. They did have deposits though.

Stewart Oldfield, CFA, CAIA

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154
[REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On May 23, 2019, at 8:03 PM, Leslie Roth [REDACTED] wrote:

Classification: Confidential

Hi Stewart,

There are a few 2018 CD accounts which have not been recoded away from you. We'll get those recoded in the next GMIS update which is in August.

Leslie Roth

Deutsche Bank AG, Filiale New York
Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
[REDACTED]

From: Robert Frohlich
Sent: Thursday, 23 May, 2019 5:26 PM
To: Stewart Oldfield [REDACTED]
Cc: Leslie Roth [REDACTED]
Subject: Southern Financial - 2018 revenues [C]

Classification: **Confidential**

Hi Stewart,

I think what happened is that only part of the revenues were moved to a House accounts in 2018 and EUR 592k still remained assigned to your name last year. Is this in line with your expectations as I wasn't involved in the discussions back then?

CC'in Leslie who I believe is closer to the topic and GMIS.

Sum of EUR

Year