

## Appendices

### Appendix 1 - Legal Entity Scope



Legal Entity Scope  
v1.0.xlsx

### Appendix 2 - Customers Excluded



Counterparties Out  
Of Scope for EinSiG

### Appendix 3 - Client List (as of December 8<sup>th</sup> 2016)



EinSiG Client List  
08122016 FINAL.xlsx

### Appendix 4 - New Customer Deposit Information Sheet (Post 3<sup>rd</sup> July 2015)



DIS New Customer  
XXEN (003 00000 00 |

### Appendix 5 - Existing Customer Deposit Information Sheet (Pre 3<sup>rd</sup> July 2015)



DIS Existing  
Customer XXEN (003

### Appendix 6 - Example Cover Letter



Cover Letter Agent  
Countersign Many F

### Appendix 7 - EinSiG Regulatory Paper



Deposit Guarantee  
Act.pdf

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