

- No court cases

Erika Kellerhals:

- No negative media
- No court cases

- No negative media

- Court case is immaterial since she is not a defendant nor plaintiff. Her name is just mentioned as miscellaneous. Case is closed as well.

Neptune LLC:

- No negative media
- No court cases

- No negative media

- No court cases

- No negative media

- No court cases

- No negative media

- No court cases

- No negative media

- No court cases

Karyna Shuliak:

- No negative media
- No court cases

JSC Interiors LLC:

- No negative media
- No court cases

Southern Trust Company Inc:

- No negative media
- No court cases

E. To the best of your knowledge, has the client ever been convicted of a criminal offense? Yes No

F. To the best of your knowledge, has the customer ever been involved in any past litigation against Deutsche Bank AG or any of its subsidiaries or is the customer threatening litigation against Deutsche Bank AG or any of its subsidiaries? (If Yes, provide details below and contact Quality Mgt. (LatAm/Int'l) or the Regulatory Control Group and notify Compliance immediately) Yes No

G. Does the client or related party have any financial or other association / interactions within countries or regimes sanctioned by the Office of Foreign Assets Control (OFAC)? Yes No

H. Does the client or related party have any financial or other association/ interactions within high risk countries? Yes No

I. Corporate Documentation Attached (Legal Entities Only) Yes No Not Applicable

J. Undisclosed Principal Form Complete (Intermediaries Only) Yes No Not Applicable

K. If Lexis/Nexis Search Results, Corporate Documents or Other Supporting Documentation is not in English, please provide an English summary of the nature/contents of the non-English Documentation:

L. Special Risk Factors

Does the account have Nexus to Special Risk Countries? Yes No

Is the account structure unusually complex? Yes No

Is there any indication the client set up a non operating company expressly for the purpose of transferring shares to third parties? Yes No

Is there any indication this could be a prohibited business relationship? Yes No

Are the bearer shares identified subject to acceptable controls? Yes No