



Manikandan Muralidharan

U S - New Client Adoption

DBOI Global Services Private Limited
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Backup details -
Ganesh Balaji [REDACTED]
Bharath-Kumar MS [REDACTED]

For Escalations please contact
Level 1 - Karthik [REDACTED]
Level 2 - Balaji [REDACTED]

Passion to Perform

----- Forwarded by Manikandan Muralidharan/db/dbcom on 12/18/2014 01:00 AM -----

From: Dmitri Saks/db/dbcom@DBCOEX
To: Jofin Varghese/db/dbcom@DBAMERICAS,
Cc: CAGNY NCA/db/dbcom@DBAmericas, Manikandan Muralidharan/db/dbcom@DBAPAC, Sujith Shetty/db/dbcom@DBAMERICAS, US-CB S-AML/db/dbcom@DBAMERICAS, Anastasia Pringle/db/dbcom, Kumud Sharma/db/dbcom@DBAPAC
Date: 12/17/2014 10:08 PM
Subject: RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Jofin,

Please note that the provided Board resolution is the document of Southern Trust Company, Inc., and not Southern Financial LLC. Therefore, the following key statements made within the document will need to be validated:

- "Epstein is also the sole shareholder of Corporation" (i.e., Southern Trust Company, Inc.), and
- "the Corporation is the sole member of Southern Financial LLC"

In order to validate the above, please provide the following supporting documentation: