
From: Stewart Oldfield [REDACTED]
Sent: 9/26/2018 11:23:43 AM
To: Vijay-A Sawant [REDACTED]; Bradley Gillin [REDACTED]
CC: Mayur Rathod [REDACTED]; Richard Iarossi [REDACTED]
Subject: RE: 2018 Periodic Review of High Risked Accounts Southern Trust Company, Inc (GCIS# [REDACTED]), Epstein, Jeffrey E. (GCIS# [REDACTED]) and Zorro Management, LLC (GCIS# [REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I] [C]

Submitted. Thanks

From: Vijay-A Sawant
Sent: Wednesday, September 26, 2018 9:58 AM
To: Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>
Cc: Mayur Rathod <[REDACTED]>; Richard Iarossi <[REDACTED]>
Subject: RE: 2018 Periodic Review of High Risked Accounts Southern Trust Company, Inc (GCIS# [REDACTED]), Epstein, Jeffrey E. (GCIS# [REDACTED]) and Zorro Management, LLC (GCIS# [REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I] [C]
Importance: High

Hi Brad,

Many thanks for the docs & clarification, we are assigning this case ([REDACTED]) to Stewart for review.

@Stewart, kindly advise if any issues, or else please forward to the AML team for final approval, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India
Tel. + [REDACTED]
Mobile [REDACTED]
[REDACTED]

From: Bradley Gillin
Sent: Monday, September 24, 2018 8:33 PM
To: Vijay-A Sawant <[REDACTED]>; Stewart Oldfield <[REDACTED]>
Cc: Mayur Rathod <[REDACTED]>; Richard Iarossi <[REDACTED]>
Subject: RE: 2018 Periodic Review of High Risked Accounts Southern Trust Company, Inc (GCIS# [REDACTED]), Epstein, Jeffrey E. (GCIS# [REDACTED]) and Zorro Management, LLC (GCIS# [REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I] [C]

Classification: Confidential

See attached COGS for Zorro.

Regarding Section b of the CDD form for Southern Trust Company, Inc, the client has successfully included the entity type - 'Inc.' is a corporation.

Thank you.